DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| | Title of Invention | SELF-DRILLING IMPLANT | | | | | | | | |
|--|---|---|---------------------------------------|--------------------|----------------------------------|--|--|--|--|--|
| | As the below named inventor(s), I/we declare that: | | | | | | | | | |
| | This declaration is directed to: | | | | | | | | | |
| | | \boxtimes | The attached application | ı, or | | | | | | |
| | | | Application No, fi | led on, | | | | | | |
| | | | as amended on | (if applicable) | ; | | | | | |
| | I/we believe that I/w for which a patent is | | the original and first inven | tor(s) of the sub | ject matter which is claimed and | | | | | |
| I/ we have reviewed and understand the contents of the above-identified application, including claims, as amended by any amendment specifically referred to above; | | | | | | | | | | |
| | known to me/us to which became ava | we acknowledge the duty to disclose to the United States Patent and Trademark Office all information nown to me/us to be material to patentability as defined in 37 CFR 1.56, including material information hich became available between the filing date of the prior application and the National or PCT ternational filing date of the continuation-in-part application, if applicable; and | | | | | | | | |
| | All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon. | | | | | | | | | |
| | FULL NAME OF INV | ENTOR(S | ;) | | | | | | | |
| | Inventor one: DR | . ROBERT | FP CARMICHAEL | DATE: | 26.02.2002 | | | | | |
| | Signature: Acole | st// | Corniched | Citizen of: | CANADA | | | | | |
| | Inventor two: DR | . GEORG | E K. B. SANDOR | DATE: | 26.02.2002 | | | | | |
| | Signature: | Ano | DA | Citizen of: | Canada | | | | | |
| | Inventor three: | | | | | | | | | |
| | Signature: | | · · · · · · · · · · · · · · · · · · · | _ Citizen of: _ | | | | | | |
| | Inventor fou <u>r:</u> | | , | | | | | | | |
| | Signature: | | | Citizen of: | | | | | | |
| - | ☐ Additional inventors | ara baisa | anditional for | ma(a) attached hor | oto. | | | | | |

Burden Hour Statement: This collection of information is required by 35 U.S. C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Applicant or Patentee: <u>Dr. Robert P. Carmichael and Dr. George K. B. Sandor</u> Serial or Patent No.: <u>-</u> Filed or Issued: <u>filed herewith</u>

the specification filed herewith

Filed or Issued: filed herewith For: SELF-DRILLING IMPLANT

Attorney's Docket No: 10547-005/HRH

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (b)) – INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41 (a) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled <u>SELF-DRILLING IMPLANT</u> described in

| | | application ser | ial no | filed | | | | | | | | | |
|---|-------------------------------------|--|--------------------------------------|----------------------------|-------------------------|------------------------|---------------------|----------|--|---|--|--|--|
| | | patent no. | , issued | . t | | | | | | | | | |
| I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a notific organization under 37 CFR 1.9(e). | | | | | | | | | | | | | |
| Each p | | on, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under law to assign, grant convey, or license any rights in the invention is listed below: | | | | | | | | | | | |
| 9 7 | | no such person, concern, or organization | | | | | | | | | | | |
| | | persons, concerns or organizations listed below* | | | | | | | | | | | |
| er: | | NOTE: Separate verified statements are required from each named person, concern or organization averring to their status as small entities. (37 CFR 1.27) | | | | | | | | | | | |
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| I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b)) | | | | | | | | | | | | | |
| belief a the like that su | are belie e so mad ch willful | ved to be true; le are punishab | and furth le by fine ts may je | er that thes or impriso | se stateme nment, or | ents were both, und | made v ler secti | with the | that all statements made knowledge that willful fa 1 of Title 18 of the Unite atent issuing thereon, or | alse statements and ed States Code, and | | | |
| NAME | OF INVE | ENTOR | | NAME | OF INVEN | ITOR | | | NAME OF INVENTOR | ₹ | | | |
| Dr. Robert P. Carmichael | | | | Dr. G | Dr. George K. B. Sandor | | | | | | | | |
| | | | | É | Most | | | | | | | | |
| Signa | ture of | Inventor | | Signa | ture of In | ventor | | | Signature of Inven | tor | | | |
| Colo | ent | Com | ichou | ℓ | | | | | | | | | |
| Date | | 2.2002 | | Date | 26.0 | 02.2 | 98 Z | • | Date | | | | |
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